

MEMORIALIZATION OF EX PARTE
COMMUNICATION FROM INTERESTED PARTY

ICC Docket Number, or Docket Number or Case Number from Other Jurisdiction

(please be specific): Com Ed & Ameren Tariff Filings re: Auction

Subject of the Communication (Note – This field is limited to 8 lines of text):

Com Ed and Ameren have filed tariffs proposing a structure to implement an Auction-based procurement process. An issue contained within these filings is the credit requirements for auction participants. The attached letter from Dynegy, Inc. relates to that matter.

Name of Commission Officer or Employee Making Report (first, then last name):

Scott

Wiseman

Job Title:

Executive Director

Names and Job Titles of Other Commission Employees Present:

None

Date of Communication (Please use the format - mm/dd/yyyy): 03/04/2005

Location of Parties to Communication:

ICC - Springfield

Mode of Communication (Please Check All That Apply):

☐ e-Mail ☐ FAX ☐ In Person ☒ Mail ☐ Telephone

Nature and Substance of All Oral Communications (Note – This field is limited to 15 lines of text):

See attached letter

CHIEF CLERK'S OFFICE

2005 MAR -4 P 1:05

ILLINOIS COMMERCE COMMISSION

11

[2-04]

05-0159
05-0160
05-0161
05-0162

MEMORIALIZATION OF EX PARTE
COMMUNICATION FROM INTERESTED PARTY

[2-04]

Responses Made to Written or Oral Communications (Note – Field is limited to 8 lines of text):

None

Please Check One:

- ☒ Attached are copies of all written items received (optional).
- ☐ No written communications were received.
- ☐ Written communications were received but are not attached.

Please Check One:

- ☐ Attached are copies of written responses (optional).
- ☒ No written responses were made.
- ☐ Written responses were made but are not attached.

Name of, Title of, and Entity Represented by Person Communicating to You:

Joesph L. Lakshmanan, Managing Director-Regulatory Affairs, Dynegy, Inc.

State What Action, If Any, the Person Requested or Recommended (Note – 11 lines of text):

None

MEMORIALIZATION OF EX PARTE
COMMUNICATION FROM INTERESTED PARTY

[2-04]

Include Any Other Information You Deem Pertinent (Note – Limited to 8 lines of text):

Electronic time stamp - this field is entered automatically by the system:

Date – Time that form was completed **3/4/2005 12:28**

Instructions for Attaching Electronic Files, Locking the Content, and Saving the Form

Once you are satisfied with the information that you have entered, you may:

- Attach any appropriate electronic files. Here is how...
 1. On the toolbar at the top of the window select "Document."
 2. Select "File Attachments" on the pulldown menu.
 3. A separate window appears named "File Attachments." Select the "Import" button.
 4. A standard Windows browse window appears called "Select a data file to import." Use the browse features to navigate to the network directory where the file that you wish to attach is located. Highlight the specific file name and select the "Open" button.
 5. The browse window disappears, leaving the "File Attachments" window. The pane on the left shows a list of all attachments. The pane and controls on the right show the file details and allow you to enter a title for the file. The actions in items 4 and 5 may be repeated as many times as necessary to complete the full list of attachments to the form.
 6. Selecting "Close" on the "File Attachments" window completes the process, and the attachments are now permanently part of the .PDF file that you will save. Anyone opening the form using Reader 6.0 will be able to read (but not change) both the form data and the attachments using the attachments features.
- Lock the form. Here is how...

Select this button to change all fields except the Docket or Case No. field to "read only."
Once this button is selected, no one can change the form content.

Lock Fields
- Save the form as a record to a secure directory. Here is how...
 1. On the toolbar at the top of the window select "File."
 2. Select "Save as" on the pulldown menu.
 3. A standard browse window appears. Navigate to \\uspi\\everyone\\record_ethics.
 4. Enter the file name using this format: yy-mm-ddcatscode#sequence#. That is, the year, the month and day, the four letters of your logon id and the # of forms submitted by you that day.
 5. Select the "Save" button. The file is saved to the selected directory.

Under Section 5-50(b-5) of the State Officials and Employees Ethics Act, the information required by this form shall promptly be memorialized and made a part of the record.